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NOTICE OF ALLOWANCE AND FEE(S) DUE

22428 7590 04/04/2008 FOLEY AND LARDNER LLP SUITE 500

FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

| EXAMINER | | |
|----------------------|--------------|--|
| MEHRMANESH, ELMIRA | | |
| ART UNIT | PAPER NUMBER | |
| 2113 | • | |
| DATE MAILED: 04/04/2 | 008 | |

| | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | ı |
|--|-----------------|-------------|----------------------|---------------------|------------------|---|
| | 10/781,709 | 02/20/2004 | Tomohiro Sakai | 089367-0123 | 4198 | |
| TITLE OF INVENTION: DISK ARRAY DEVICE HAVING POINT VALUE ADDED OR SUBTRACTED FOR DETERMINING WHETHER DEVICE IS | | | | | | |

DEGRADED

 APPLN. TYPE
 SMALL ENTITY
 ISSUE FEE DUE
 PUBLICATION FEE DUE
 PREV. PAID ISSUE FEE
 TOTAL FEE(S) DUE
 DATE DUE

 nonprovisional
 NO
 \$1440
 \$300
 \$0
 \$1740
 07/07/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notificat | form should be used to correspondence including d below or directed other | for transmitting the ISS ing the Patent, advance herwise in Block 1, by | SUE FEE and PUBLICAT: orders and notification of r (a) specifying a new corre | ON FEE (if require naintenance fees will pondence address; a | d). Blocks 1 through 5 I be mailed to the curren nd/or (b) indicating a sep | should be completed where t correspondence address as varate "FEE ADDRESS" for | |
|--|---|---|---|--|---|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) | | | | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | |
| SUITE 500 3000 K STREET | | V2008 | I be | Certify | icate of Mailing or Tran | smission g deposited with the United strst class mail in an envelope s above, or being facsimile date indicated below. | |
| WASHINGTON | , DC 20007 | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | L | | | (Date) | |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | Α | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
| 10/781,709 | 02/20/2004 | | Tomohiro Sakai | | 089367-0123 | 4198 | |
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| nonprovisional | NO | \$1440 | \$300 | \$0 | \$1740 | 07/07/2008 | |
| EXAM | INER | ART UNIT | CLASS-SUBCLASS | 1 | | | |
| MEHRMANE | SH, ELMIRA | 2113 | 714-006000 | , | | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563). Change of correspondence address (or Change of Correspondence Address from PIOVSB/122) attached. The Address First PIOVSB/122 stacked. The Address Indication (or "Fee Address" Indication form PIOSB/147; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | 2. For printing on the patent front page, list (1) the anness of up to 3 registered patent attorneys or agents OR, alternatively (2) the same of a single firm thusing as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ilsted, no name will be printed. | | | | |
| | ess an assignee is ident n in 37 CFR 3.11. Comp BNEE | ified below, no assigne pletion of this form is N | (B) RESIDENCE: (CITY | atent. If an assignee assignment. and STATE OR CO | UNTRY) | document has been filed for | |
| 4a. The following fee(s) a | | | 4b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car | se first reapply any | previously paid issue fee | | |
| Advance Order - # | of Copies | | The Director is hereby overpayment, to Depo | authorized to charge sit Account Number | the required fee(s), any d | leficiency, or credit any an extra copy of this form). | |
| | SMALL ENTITY state | as. See 37 CFR 1.27. | ☐ b. Applicant is no lon | ger claiming SMALL | ENTITY status. See 37 C | CFR 1.27(g)(2). | |
| NOTE: The Issue Fee and interest as shown by the r | d Publication Fee (if requeeords of the United Sta | uired) will not be accept ites Patent and Tradema | ed from anyone other than t k Office. | he applicant; a registe | ered attorney or agent; or | the assignee or other party in | |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | Registration No. | | | |
| This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | ation is required by 37 C iality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DC 13-1450. | CFR 1.311. The informat U.S.C. 122 and 37 CFI USPTO. Time will var den, should be sent to to O NOT SEND FEES OR | ion is required to obtain or a R 1.14. This collection is est by depending upon the indivi- the Chief Information Office COMPLETED FORMS To | etain a benefit by the imated to take 12 min idual case. Any comer, U.S. Patent and Tr D THIS ADDRESS. | public which is to file (an nutes to complete, includi ments on the amount of t ademark Office, U.S. De SEND TO: Commissioner | nd by the USPTO to process) ing gathering, preparing, and ime you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450. | |

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| FOLEY AND LARDNER LLP | | MEHRMANESH, ELMJRA | | |
| SUITE 500 | | | ART UNIT | PAPER NUMBER |
| 3000 K STREET NW WASHINGTON, DC 20007 | | | 2113 DATE MAILED: 04/04/200 | 8 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 410 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 410 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

| Application No. | Applicant(s) | |
|-------------------|-----------------|--|
| 10/781,709 | SAKAI, TOMOHIRO | |
| Examiner | Art Unit | |
| Elmira Mehrmanesh | 2113 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to 12/26/07.
- 2. The allowed claim(s) is/are 1-21.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - b) ☐ Some* c) ☐ None of the:
 - 1. X Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No.
 - 3.
 ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. T Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- Other .

/Robert W. Beausoliel Jr./ Supervisory Patent Examiner, Art Unit 2113